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PTO/SB/05 (11-00)

use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

Attomey Docket No. 37070/205236 First Inventor Steve J.D. Bell Calcium Phosphate Particles as Mucosal Adjuvants Title

Express Mail Label No. EL603000859US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. 🛛 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) 3. 🛛 Specification [Total Pages 39 a. Computer Readable Form (CRF) b. Specification Sequence Listing on: (preferred arrangement set forth below) - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. 🗌 paper - Statement Regarding Fed sponsored R & D c.

Statements verifying identity of above copies - Reference to sequence fisting, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 12. Information Disclosure ☐ Copies of IDS 4. 🛛 [Total Sheets 6 Drawing(s) (35 U.S.C.113) Statement (IDS)/PTO-1449 Citations [Total Pages 3 5. Oath or Declaration 1 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) 14. Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) 15. 🔲 i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: of prior application No: 09 / 496,771 □ Continuation □ Divisional ☑ Continuation-in-part (CIP) Group / Art Unit: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or

Correspondence address below □ Customer Number or Bar Code Label bar code label here) John S. Pratt Name Kilpatrick Stockton LLP 1100 Peachtree Street Address **Suite 2800** City GA 30309 Atlanta State Zip Code Fax USA Telephone 404.815.6500 404.815.6555 Country Name (Print/Type) Kristin D. Mallatt Registration No. (Attorney/Agent) 46,895

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Complete if Known				
Application Number				
Filing Date	08/17/01			
First Named Inventor	Steve J.D. Bell			
Examiner Name				
Group / Art Unit				
Attornov Deelvet No.	37070/205236			

TOTAL AMOUNT OF PAYMENT (\$) 355 Attorney Docket No. METHOD OF PAYMENT (check one) FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES \times 1. indicated fees and credit any over payments to: Small Entity Entity Fee Fee Fee Deposit Fee Description Code (\$) Code (\$) Paid 11-0855 Account 205 105 Surcharge - late filing fee or oath 130 65 Number 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. Deposit KILPATRICK STOCKTON LLP 139 130 139 130 Non-English specification Account Name 147 147 2.520 2.520 For filing a request for reexamination Charge Any Additional Fee Required 112 920* 112 920° Requesting publication of SIR prior to Under 37 CFR 1.16 and 1.17 Examiner action Applicant claims small entity status. 113 1,8404 Requesting publication of SIR after 113 1,840* See 37 CFR 1.27 Examiner action X Payment Enclosed: 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second □ Other ☑ Check ☐ Credit card ☐ Money month Order 890 217 445 Extension for reply within third month **FEE CALCULATION** 118 1,390 218 695 Extension for reply within fourth BASIC FILING FEE Large **Entity Small** Entity 128 228 Extension for reply within fifth month 1.890 945 Fee Fee Fee Fee Fee Description 119 310 219 155 Notice of Appeal Fee Paid Code (\$) Code (\$) 120 220 310 155 Filing a brief in support of an appeal 101 710 201 355 Utility filing fee 355 121 270 221 135 Request for oral hearing 106 320 206 160 Design filing fee Petition to institute a public use 138 138 1,510 1,510 107 490 207 245 Plant filing fee proceeding 108 710 208 355 Reissue filing fee 140 110 240 55 Petition to revive - unavoidable 114 150 214 75 Provisional filling fee 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) SUBTOTAL (1) (\$) 355 143 243 220 Design issue fee 440 144 600 244 300 Plant issue fee 2. EXTRA CLAIM FEES 122 130 122 130 Petitions to the Commissioner Extra Fee from Fee Claims below Paid Petitions related to provisional 123 130 123 130 Total Claims -20* 0 9 0 applications ndependent Submission of Information Disclosure 3 0 40 0 126 180 126 180 Claims Stmt Recording each patent assignment **Jultiple** 581 40 581 40 per property (times number of Dependent properties) Large **Entity** Small **Entity** 146 710 246 355 Filing a submission after final rejection Fee Fee Fee Fee (37 ČFR § 1.129(a)) Fee Description Code (\$) Code (\$) 149 710 249 355 For each additional invention to be 103 203 18 9 Claims in excess of 20 examined (37 CFR § 1.129(b)) 40 102 80 202 Independent claims in excess of 3 179 710 279 355 Request for Continued Examination (RCE) 104 270 204 135 Multiple dependent claim, if not paid ** Reissue independent claims over 169 900 169 900 Request for expedited examination 109 80 209 40 of a design application original patent ** Reissue claims in excess of 20 and 110 18 210 9 over original patent Other fee (specify) SUBTOTAL (2) (\$) 0*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Kristin D. Mallatt	Registration No. Attorney/Agent)	46,895	Telephone	404.815.6147		
Signature	Kristin	Mallart		Date	08/17/01		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Steve J.D. Bell, et al.

Serial No.:

Examiner:

Filed:

August 17, 2001

Group Art Unit:

For:

CALCIUM PHOSPHATE PARTICLES

AS MUCOSAL ADJUVANTS

Box Patent Application Assistant Director for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.10)

Sir:

I hereby certify that this Patent Application Transmittal, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10, Mailing Label No. EL603000859US addressed to Box Patent Application, Assistant Director for Patents, Washington, D.C. 20231.

Rebecca L. Smith

Date: August 17, 2001

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